

## Chapter 2 Differential manifolds

- 2.1 Prerequisite: Differential calculus
- 2.2 Charts and atlases
- 2.3 Differential structures
- 2.4 Local coordinates
- 2.5 Manifolds with boundary

## 2.1 Prerequisite: Differential calculus

- Differential of a function, partial derivatives, Jacobian, gradient, chain rule.
- Functions of class  $C^k$ , Taylor expansions, real-analytic functions.
- Local inversion theorem, implicit function theorem.
- Critical points, Hessian, local extrema.
- (Multiple integrals.)

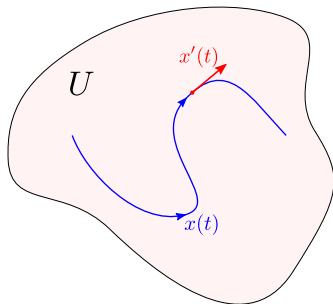
### *References:*

- *Lee, Smooth Manifolds, Appendix C*
- *Lafontaine, Differential manifolds, Chapter 1*

# Differential of a function and velocities of curves

Let  $t \in I \mapsto x(t)$  be a differentiable curve in  $U \subseteq \mathbb{R}^m$ .

For all  $t \in I$ , the derivative  $x'(t) \in \mathbb{R}^m$  can be thought as a vector “based at  $x(t)$ ”, called the **velocity**.



Let  $f: U \subseteq \mathbb{R}^m \rightarrow \mathbb{R}^n$  be a differentiable function.

At any  $x \in U$ , the differential (or derivative) of  $f$  is a linear map

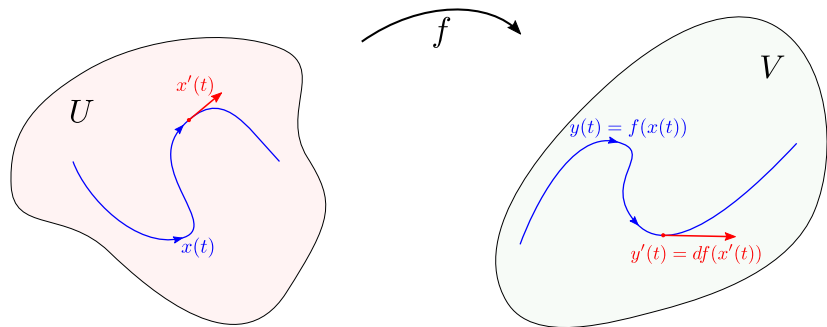
$$df_{|_x} : \mathbb{R}^m \rightarrow \mathbb{R}^n$$

Given a differentiable curve  $t \mapsto x(t)$  in  $U$ , consider the image curve  $t \mapsto y(t) = f(x(t))$  in  $\mathbb{R}^n$ .

## Proposition

$t \mapsto y(t)$  is a differentiable curve in  $V$ , with velocity  $y'(t) = df_{|_{x(t)}}(x'(t))$ .

# Differential of a function and velocities of curves



## 2.2 Charts and atlases

Fix a “class of regularity”  $C$  for maps between open sets of  $\mathbb{R}^m$ , such as:

- Continuous
- $C^1$
- $C^k$
- $C^\infty$  (**smooth**)

### Remark

Other ideas: Differentiable, PL (piecewise linear),  $C^\omega$  (real-analytic), Hol (when  $m$  is even). Further: Banach, algebraic,  $(X, G)$ -structures, ... (any pseudogroup)

Let  $M$  be a topological manifold of dimension  $m$ .

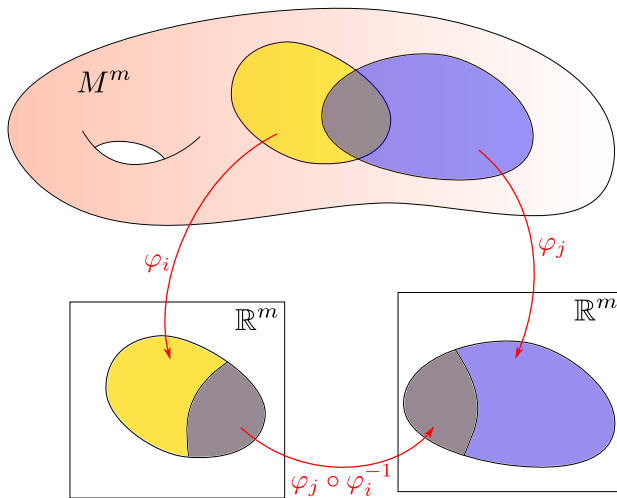
Recall that a **chart** is a pair  $(U, \varphi)$  where  $\varphi: U \subseteq M \rightarrow V \subseteq \mathbb{R}^m$  is a homeomorphism.

An **atlas** is a collection of charts  $(U_i, \varphi_i)_{i \in I}$  such that  $M = \bigcup_{i \in I} U_i$ .

## Definition

Two charts  $(U_1, \varphi_1)$  and  $(U_2, \varphi_2)$  are **(C-)compatible** if the transition function  $\varphi_2 \circ \varphi_1^{-1}: \varphi_1(U_1 \cap U_2) \rightarrow \varphi_2(U_1 \cap U_2)$  is of class  $C$ .

A **C-atlas** is an atlas whose charts are pairwise  $C$ -compatible.





## 2.3 Differential structures

### Definition

Two  $C$ -atlases are called  $C$ -**compatible** if any chart of one is compatible with any chart of the other.

A  $C$ -**structure** on  $M$  is an equivalence class of compatible  $C$ -atlases.

A  $C$ -**manifold** is a manifold equipped with a  $C$ -structure.

### Remark

- $\mathcal{A}_1$  and  $\mathcal{A}_2$  are compatible  $C$ -atlases  $\Leftrightarrow \mathcal{A}_1 \cup \mathcal{A}_2$  is a  $C$ -atlas.
- $C$ -structure  $\Leftrightarrow$  maximal  $C$ -atlas.

For example, a **smooth manifold** is a manifold equipped with a  $C^\infty$  structure. In practice, it is sufficient to specify *one* smooth atlas.

A **differential manifold** is a manifold equipped with a  $C$ -structure, where  $C$  is some regularity class contained in differentiable.

Let  $f: M \rightarrow N$  be a map between two  $C$ -manifolds.

## Definition

$f$  is **of class  $C$**  if, for any compatible charts  $(U, \varphi)$  on  $M$  and  $(V, \psi)$  on  $N$ , the map  $\psi \circ f \circ \varphi^{-1}: \varphi(U) \subseteq \mathbb{R}^m \rightarrow \mathbb{R}^n$  is of class  $C$ .

$f$  is a  **$C$ -diffeomorphism** if it is bijective, and  $f$  and  $f^{-1}$  are of class  $C$ .

## Example

The map

$$\begin{aligned}\mathbb{R}/\mathbb{Z} &\rightarrow S^1 \\ t &\mapsto e^{2\pi it}\end{aligned}$$

is a smooth diffeomorphism. Good exercise!

## Remark

Existence and uniqueness of differential structures on manifolds?

Negative results:

- Some manifolds do not admit a differential structure (Kervaire 1960,  $E_8$  manifold, ...).
- A differential structure on a manifold  $M$  is not unique, due to the action of  $\text{Homeo}(M)$ . See Homework exercise.  
But is it unique up to diffeomorphism?
- Some manifolds admit several non-diffeomorphic differential structures: exotic spheres (Milnor),  $\mathbb{R}^4$ , ...

## Remark

Existence and uniqueness of differential structures on manifolds?

Positive results:

- For  $m \neq 4$ ,  $\mathbb{R}^m$  admits a unique differential structure.
- Low dimensions: For  $m \leq 3$ , manifolds of dim.  $m$  admit a unique differential structure.
- Equivalence of  $C^k$  categories for all  $k \in [1, +\infty]$  (ref: Hirsch).

**Henceforth, we will always work in the smooth category:  $C = C^\infty$ .**

Case  $N = \mathbb{R}$ : A smooth map  $f: M \rightarrow \mathbb{R}$  is called a **smooth function**.

*Remark:* The space  $C^\infty(M, \mathbb{R})$  is an algebra.

*Idea:* Instead of working with the manifold  $M$  itself, work with  $C^\infty(M, \mathbb{R})$  instead. (Reminiscent of  $E$  and  $E^*$  in linear algebra.)

More generally, let  $C$  be a category as before. The **structure sheaf** of  $M$  is  $U \mapsto C(U, \mathbb{R})$ . This is an example of **locally ringed space**.

This point of view is most successful in algebraic geometry. A **scheme** (locally ringed space locally isomorphic to ring spectra) = best definition of an algebraic variety.

## 2.4 Local coordinates

Let  $M$  be a smooth manifold and let  $(U, \varphi)$  be a smooth chart. (aka “coordinate chart”).

Denote  $\varphi = (x_1, \dots, x_m)$  the components (aka coordinates) of  $\varphi$ . Each  $x_i$  is a smooth map  $x_i: U \rightarrow \mathbb{R}$ .

### Definition

The  $m$ -tuple of smooth functions  $(x_1, \dots, x_m)$  is called a **system of local coordinates** on  $M$ .

### Remark (Upper indices notation)

*Ricci calculus* is a set of notational conventions in differential geometry (including *Einstein notation*).

The indices in a system of coordinates should be written in superscript:  $(x^1, \dots, x^m)$  instead of  $(x_1, \dots, x_m)$ .

## Example (Cartesian coordinates)

On  $M = \mathbb{R}^m$ , we have local coordinates  $(x_1, \dots, x_m)$ . Here, we abusively denote  $x_k$  the map  $\mathbb{R}^m \rightarrow \mathbb{R}$  defined by  $x \mapsto x_k$ .

## Example (Polar coordinates)

On  $\mathbb{R}^2 - \{0\}$ , we have polar coordinates  $(\rho, \theta)$ . These are only *local* coordinates, because the map  $\theta: \mathbb{R}^2 \rightarrow \mathbb{R}$  is not well-defined globally.

## Change of coordinates

Let  $(x_1, \dots, x^m)$  and  $(y_1, \dots, y^m)$  be two systems of local coordinates. On the overlap of the coordinate charts:

$$\psi = F \circ \varphi$$

where  $F$  is the transition function. In coordinates:

$$y_k = F_k(x_1, \dots, x_m).$$

## Example (Change of coordinates: from polar to Cartesian)

On  $\mathbb{R}^2 - \{0\}$ , let  $(x, y)$  be the Cartesian coordinates and  $(\rho, \theta)$  the polar coordinates.

To be precise, let us take  $\theta: \mathbb{R}^2 \rightarrow (-\pi, \pi]$  the principal argument function.  $(\rho, \theta)$  is a system of local coordinates on  $\mathbb{R}^2 - (-\infty, 0] \times \{0\}$ .

The change of coordinates from polar to Cartesian is written  $(x, y) = F(\rho, \theta)$  where  $F$  is the transition function from the polar chart to the Cartesian chart.

In coordinates:

$$x = \rho \cos \theta$$

$$y = \rho \sin \theta .$$

*Other examples: Exercises.*



## 2.5 Smooth manifolds with boundary

Let  $H^m \subseteq \mathbb{R}^m$  denote the upper half-space. A map  $F: H^m \rightarrow \mathbb{R}^n$  is *smooth* if it extends as a smooth function  $U \supseteq H^m \rightarrow \mathbb{R}^n$ .

### Definition

A **smooth manifold with boundary** is a topological manifold with boundary equipped with a (equivalence class of) atlas whose transition functions are smooth maps between open sets of  $H^m$ .

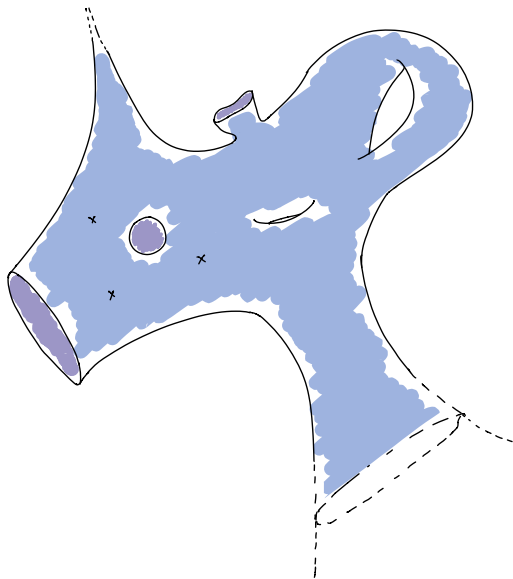
- $p \in M$  is an **interior point** if  $\varphi(p)$  is an interior point of  $H^m$  for some/any chart  $\varphi$ .
- $p \in M$  is a **boundary point** ... is a boundary point of  $H^m$  ...
- **Boundary of  $M$ :** Set of boundary points, denoted  $\partial M$ .  
Exercise:  $\partial M$  (if nonempty) is a smooth  $(m - 1)$ -manifold.
- **Interior of  $M$ :** Set of interior points, denoted  $M - \partial M$ .  
Exercise:  $M - \partial M$  is a smooth  $m$ -manifold.

## Examples

- See Chapter 1.
- $M = \text{Any smooth manifold}$      $\partial M = \emptyset$ .
- $M = [-1, 1) \cup [2, +\infty)$      $\partial M = \{-1\} \cup \{2\}$ .
- $M = B^m \subseteq \mathbb{R}^m$      $\partial M = S^{m-1}$ . *Exercise!*
- $M = S^1 \times [0, 1]$      $\partial M = S^1 \times \{0\} \cup S^1 \times \{1\}$ .

# Smooth manifolds with boundary

$M =$



# Smooth manifolds with boundary

$\partial M =$

